



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pelikan et al. )  
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 Serial No.: 10/051,317 )  
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 Filed: January 18, 2002 )  
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 For: METHOD FOR OPTIMIZING )  
 A SOLUTION SET )  
 )  
 Art Unit: 2183 )  
 )  
 Examiner: Unassigned )

I hereby certify that this paper is being deposited with the  
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6/21/02

Date  
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Appr. February 20, 1998

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**RECEIVED**

JUL 03 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant through counsel  
 herewith submits copies of the publications as set forth in the attached form PTO-1449 as  
 follows:

OTHER DOCUMENTS

Samir W. Mahfoud, Niching Methods for Genetic Algorithms, *Thesis*, University of Illinois at Urbana-Champaign, 1995.

David Heckerman, Dan Geiger, David M. Chickering, Learning Bayesian Networks: The Combination of Knowledge and Statistical Data, *Technical Report MSR-TR-94-09*, Microsoft Corporation, Redmond, WA, March 1994 (Revised February 1995).

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David Maxwell Chickering, David Heckerman, Efficient Approximations for the Marginal Likelihood of Bayesian Networks with Hidden Variables, *Technical Report MSR-TR-96-08*, Microsoft Corporation, Redmond, WA, March 1996 (Revised April 1997).

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Jeremy S. De Bonet, Charles L. Isbell, Jr., Paul Viola, MIMIC: Finding Optima by Estimating Probability Densities, *Advances in Neural Information Processing Systems*, MIT Press, Cambridge, MA, 1997.

David E. Goldberg, The Race, the Hurdle, and the Sweet Spot: Lessons from Genetic Algorithms for the Automation of Design Innovation and Creativity, *IlliGAL Report No. 98007*, University of Illinois at Urbana-Champaign, April 1998.

Martin Pelikan, David E. Goldberg, and Erick Cantú-Paz, Linkage Problem, Distribution Estimation, and Bayesian Networks, *IlliGAL Report No. 98013*, University of Illinois at Urbana-Champaign, November 1998.

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Richard A. Watson, Analysis of Recombinative Algorithms on a Non-separable Building-block Problem, *Dynamical & Evolutionary Machine Organization*, Volen Center for Complex Systems, Brandeis University, Waltham, MA, 2000

Richard A. Watson, Gregory S. Hornby, and Jordan B. Pollack, Modeling Building-Block Interdependency, *Dynamical & Evolutionary Machine Organization*, Volen Center for Complex Systems, Brandeis University, Waltham, MA, 1998

Peter A.N. Bosman and Dirk Thierens, Continuous Iterated Density Estimation Evolutionary Algorithms Within the IDEA Framework, Department of Computer Science, Utrecht University, The Netherlands, 2000

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Heinz Mühlenbein, Thilo Mahnig and Alberto Ocho Rodriguez, Schemata, Distributions and Graphical Models in Evolutionary Optimization, *Journal of Heuristics*, 5 pp. 215-247, 1999.

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Ramon Etxeberria and Pedro Larrañaga, Global Optimization Using Bayesian Networks, (believed published circa 2000).

Scott Davies and Andrew Moore, Bayesian Networks for Lossless Dataset Compression, (believed published circa 2000).

**REMARKS**

Applicant respectfully requests that the Examiner consider the above-listed references in the examination of this application and list these references of record in the application.

Respectfully submitted,

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JUN 21 2002  
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Sheet 1 of 3

Form PTO-1449 (Rev. 8-88)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: 1201.66005	Serial No.: 10/051,317
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant: Pelikan et al.	<b>RECEIVED</b>
		Filing Date: January 18, 2002	JUL 03 2002 Group: 2T83

**U.S. PATENT DOCUMENTS**

**Technology Center 2100**

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Samir W. Mahfoud, <u>Niching Methods for Genetic Algorithms</u> , <i>Thesis</i> , University of Illinois at Urbana-Champaign, 1995.
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	Jeremy S. De Bonet, Charles L. Isbell, Jr., Paul Viola, <u>MIMIC: Finding Optima by Estimating Probability Densities</u> , <i>Advances in Neural Information Processing Systems</i> , MIT Press, Cambridge, MA, 1997.
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JUN 27 2002

Sheet 2 of 3

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